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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/734,353
		Filing Date	December 12, 2003
		Confirmation Number	Unassigned
		First Named Inventor	Hu, et al.
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission	15	Attorney Docket Number	GUID-011CON2
<b>ENCLOSURES (check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form IN DUPLICATE <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> <b>Information Disclosure Statement (2 pgs.)</b> <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Forms of PTO (12 Pgs.) - 1 Reference - Post Card	Remarks
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm or Individual Name	ALAN W. CANNON, REG. 34,977		
Signature			
Date	January 9, 2004		

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this Information Disclosure Statement is being hand delivered to the United States Patent and Trademark Office at: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
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<b>INFORMATION DISCLOSURE STATEMENT</b>  Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		Attorney Docket	GUID-011CON2
		First Named Inventor	HU, LAWRENCE W.
		Application Number	10/734,353
		Confirmation No.	Unassigned
		Filing Date	December 12, 2003
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Title: "SURGICAL INSTRUMENTS FOR ACCESSING AND STABILIZING A LOCALIZED PORTION OF A BEATING HEART"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

Forms PTO-1449 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. Copies of the references identified herein were disclosed in parent application Serial No. 09/958,263, filed March 06, 2002, which is a 371 of PCT/US00/12239, filed 5/4/2000, which is a Continuation in Part of application 09/305,803, filed 05/04/1999, (now U.S. Patent: 6,231,506); and a Continuation in Part of application 09/305,810, filed 05/04/1999, (now U.S. Patent: 6,331,158); and a Continuation in Part of application 09/305,811, filed 05/04/1999, (now U.S. Patent: 6,283,912); and a Continuation in Part of application 09/305,813, filed 05/04/1999, (now U.S. Patent: 6,290,644); and a Continuation in Part of application 09/372,661, filed 08/11/1999, now abandoned; which is a Continuation in Part of application 09/305,810, filed 05/04/1999, (now U.S. Patent: 6,331,158). Pursuant to the provisions of 37 CFR § 1.98(d); copies of the references are not included.

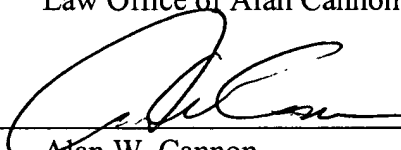
**Accordingly the only copy of the Foreign Patent No. WO 00/66008. is being submitted.**

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

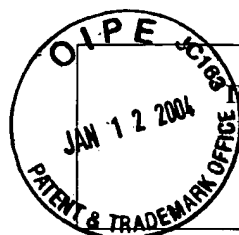
As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653, order No. GUID-011CON2 may be charged therefor.

Respectfully submitted,  
Law Office of Alan Cannon

Date: January 9, 2004

By:   
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Registration No. 34,977

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**INFORMATION DISCLOSURE CITATION**  
Form PTO-1449 (Modified)  
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ATTY. DOCKET NO.  
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SERIAL NO.  
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APPLICANT

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GROUP  
Unassinged

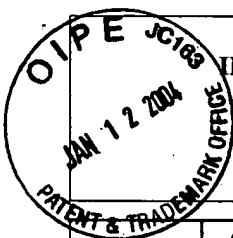
**U.S. PATENT DOCUMENTS**

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	452,131	05/12/1891	Haughawout			
	A2	810,675	01/23/06	Richter			
	A3	1,706,500	03/26/29	Smith			
	A4	2,296,793	09/22/42	Kirschbaum			
	A5	2,590,527	03/25/52	Fluck			
	A6	2,693,795	11/09/54	Grieshaber			
	A7	2,863,444	12/09/58	Winsten			
	A8	3,392,722	07/16/68	Jorgensen			
	A9	3,683,926	08/15/72	Suzuki			
	A10	3,720,433	03/13/73	Rosfelder			
	A11	3,783,373	01/08/74	Jacobs			
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	A14	3,882,855	05/13/75	Schulte et al.			
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	A16	3,983,863	10/05/76	Janke et al.			
	A17	4,047,532	09/13/77	Phillips et al.			
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	A20	4,049,002	09/20/77	Kletschka et al.			
	A21	4,052,980	10/11/77	Grams et al			
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	A24	4,306,561	12/22/81	de Medinaceli			
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	A28	4,428,368	01/31/84	Torii			
	A29	4,434,791	03/06/84	Darnell			

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A30	4,461,284	07/24/84	Fackler			
A31	4,492,229	01/08/85	Grunwald			
A32	4,617,916	10/21/86	Le Vahn et al.			
A33	4,627,421	12/09/86	Symbas et al.			
A34	4,637,377	01/20/87	Loop			
A35	4,646,747	03/03/87	Lundback			
A36	4,688,570	07/25/87	Kramer et al.			
A37	4,702,230	10/27/87	Pelta			
A38	4,718,418	01/12/88	L'Esperance, Jr.			
A39	4,726,356	02/23/88	Santilli et al.			
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A42	4,747,394	05/31/88	Wananabe			
A43	4,747,395	05/31/88	Brief			
A44	4,754,746	07/05/88	Cox			
A45	4,803,984	02/14/89	Narayanan et al.			
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A48	4,852,552	08/01/89	Chaux			
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A53	4,925,443	05/15/90	Heilman et al.			
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A55	4,955,896	09/11/90	Freeman			
A56	4,962,758	10/16/90	Lasner et al.			
A57	4,971,037	11/02/90	Pelta			
A58	4,973,300	11/27/90	Wright			
A59	4,989,587	02/05/91	Farley			

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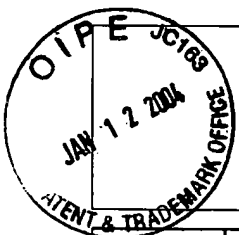
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	A63	5,011,469	04/30/91	Buckberg et al.			
	A64	5,025,779	06/25/91	Bugge			
	A65	5,036,868	08/06/91	Berggren et al.			
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	A67	5,052,373	10/01/91	Michelson			
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	A71	5,102,853	04/07/92	Scirica et al.			
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	A83	5,318,013	06/07/94	Wilk			
	A84	5,336,252	08/09/94	Cohen			
	A85	5,382,256	01/17/95	Del Castillo			
	A86	5,382,756	01/17/95	Dagan			
	A87	5,383,840	01/24/95	Heiman et al.			

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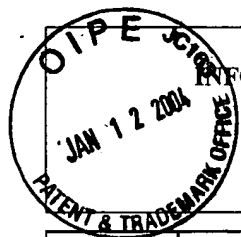
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	A88	5,385,840	01/31/95	Heiman et al.			
	A89	5,417,709	05/23/95	Slater			
	A90	5,437,651	08/01/95	Todd et al.			
	A91	5,452,733	09/26/95	Sterman et al.			
	A92	5,467,763	11/21/95	McMahon et al.			
	A93	5,498,256	03/12/96	Furnish			
	A94	5,503,617	04/02/96	Jako			
	A95	5,509,890	04/23/96	Kazama			
	A96	5,512,037	04/30/96	Russell et al.			
	A97	5,514,075	05/07/96	Moll et al.			
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	A108	5,651,378	07/29/97	Matheny et al.			
	A109	5,727,569	03/17/98	Benetti et al.			
	A110	5,730,757	03/24/98	Benetti et al.			
	A111	5,749,892	05/12/98	Vierra et al.			
	A112	5,755,660	05/26/98	Tyagi			
	A113	5,772,583	06/30/98	Wright et al.			
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	A115	5,795,291	08/18/98	Koros et al.			
	A116	5,799,661	09/01/98	Boyd et al.			

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A117	5,807,243	09/15/98	Vierra et al.			
A118	5,813,410	09/29/98	Levin			
A119	5,836,311	11/17/98	Borst et al.			
A120	5,846,187	12/1998	Wells et at.			
A121	5,846,193	12/08/98	Wright			
A122	5,846,194	12/08/98	Wasson, et al.			
A123	5,865,730	02/02/99	Fox et al.			
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A125	5,875,782	03/02/99	Ferrari et al.			
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A127	5,879,291	03/09/99	Kolata et al.			
A128	5,882,299	03/16/99	Rastegar et al.			
A129	5,885,271	03/23/99	Hamilton et al.			
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A131	5,891,017	04/06/99	Swindle et al.			
A132	5,894,843	04/20/99	Benetti et al.			
A133	5,906,601	05/25/99	Taylor et al.			
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A136	5,913,876	06/22/99	Taylor et al.			
A137	5,927,284	07/27/99	Borst et al.			
A138	5,944,736	08/31/99	Taylor et al.			
A139	5,944,658	08/31/99	Koros et al.			
A140	5,947,125	09/07/99	Benetti			
A141	5,947,896	09/07/99	Sherts et al.			
A142	5,957,835	09/28/99	Anderson et al.			
A143	5,967,972	10/19/99	Santilli et al.			
A144	5,976,080	11/02/99	Farascioni			

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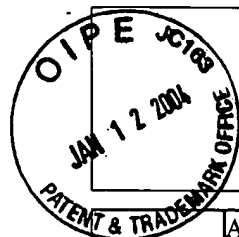
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A146	5,984,843	04/16/99	Benetti al.			
A147	5,984,865	11/16/99	Farley et al.			
A148	5,984,867	11/16/99	Deckman et al.			
A149	6,007,486	12/28/99	Hunt et al.			
A150	6,017,304	01/25/00	Vierra et al.			
A151	6,019,722	02/01/00	Spence et al.			
A152	6,030,340	02/29/00	Maffei et al.			
A153	6,032,672	03/07/00	Taylor			
A154	6,033,362	03/07/00	Cohn			
A155	6,036,641	03/14/00	Taylor et al.			
A156	Des 421,803	03/21/00	Koros et al.			
A157	6,050,266	04/18/00	Benetti et al.			
A158	6,063,021	05/16/00	Hossain et al.			
A159	6,071,295	06/06/00	Takahashi			
A160	6,099,468	08/08/00	Santilli et al.			
A161	6,102,854	08/15/00	Carfier et al.			
A162	6,139,492	10/31/00	Vierra et al.			
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A164	6,190,311	02/20/01	Glines et al.			
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A166	6,200,263	03/13/01	Person			
A167	6,210,323	04/03/01	Gilhuly et al.			
A168	6,213,940	04/10/01	Sherts et al.			
A169	6,213,941	04/10/01	Benetti et al.			
A170	6,231,506	05/15/01	Hu et al.			
A171	6,283,912	09/04/01	Hu et al.			

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A172	6,290,644	09/18/01	Green, II et al.			
A173	6,315,717	11/13/01	Benetti et al.			
A174	6,331,157	12/18/01	Hancock			
A175	6,331,158	12/18/01	Hu et al.			
A176	6,346,077	02/12/02	Taylor et al.			
A177	6,348,036	02/19/02	Looney et al.			
A178	6,394,951	05/28/02	Taylor et al.			

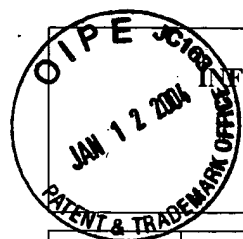
**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	N
	B1	473,451	01/13/15	France				
	B2	168,216	09/01/21	United Kingdom				
	B3	WO 87/04081	07/16/87	PCT				
	B4	0 293 760 A2	12/07/88	Europe				
	B5	0 293 760 A3	12/07/88	Europe				
	B6	0 293 760 B1	12/07/88	Europe				
	B7	DE 90 04513	06/28/90	Germany				
	B8	GB 2 233 561 A	01/16/91	United Kingdom				
	B9	GB 2 267 827 A	12/22/93	United Kingdom				
	B10	0 630 629	05/17/94	Europe				
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	B12	WO 94/18881	09/01/94	PCT				
	B13	WO 95/01757	01/19/95	PCT				
	B14	WO 95/15715	06/1995	PCT				
	B15	WO 95/17127	06/29/95	PCT				

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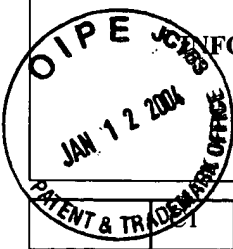
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B19	WO 97/32514 A2	09/12/97	PCT				
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B27	WO 99/16367	04/08/99	PCT				
B28	WO 00/06041	02/10/00	PCT				
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B38	WO 00/42937	07/27/00	PCT				
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C2	09/438,670 Parsons, et al. filed on 11/12/99
C3	09/489,274 Brown et al. filed on 01/21/00
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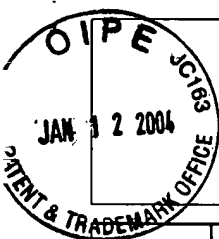
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